

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
PF-  
2840/NEC/US/mh/B1

**To the Assistant Commissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Takao ARAI  
corresponding to Japanese application No. 2000-297892, filed  
29 September 2000,  
entitled: SEMICONDUCTOR DEVICE INCLUDING A DEPLETION TYPE LAT-  
ERAL MOSFET AND METHOD OF FORMING THE SAME

Enclosed are:

- |                                     |  |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | 30 pages of specification.   |
| <input checked="" type="checkbox"/> | 25 sheets of formal drawings.  |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventor.  |
| <input type="checkbox"/>            | a copy of an executed declaration of the inventor from prior application Serial No. , filed .  |
| <input type="checkbox"/>            | incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC Corporation, including assignment cover sheet.   |
| <input type="checkbox"/>            | Information Disclosure Statement with Form PTO-1449.   |
| <input type="checkbox"/>            | copies of the Information Disclosure Statement citations.  |
| <input type="checkbox"/>            | preliminary amendment.   |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized.   |
| <input type="checkbox"/>            | applicant claims small entity status under 37 CFR 1.27.  |
| <input checked="" type="checkbox"/> | a certified copy of the Japanese Priority Document.  |
| <input checked="" type="checkbox"/> | other: Application Data Sheet.   |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. , filed .

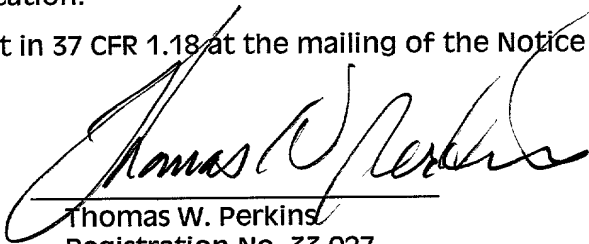
- |                                     |  |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Customer No. 000466.   |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.  |

<b>UTILITY PATENT APPLICATION TRANSMITTAL LETTER</b> (continued)			Docket No. PF- 2840/NEC/US/mh/B1	
<b>CLAIMS AS FILED</b>				
	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 710	\$ 710
TOTAL CLAIMS	26 - 20 =	6	x\$ 18	108
INDEPENDENT CLAIMS	7 - 3 =	4	x\$ 80	320
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 270	
<b>TOTAL</b>				<b>\$1138</b>
If applicant claims small entity status under 37 CFR 1.27, then divide total fee by 2, and enter amount here.			<b>SMALL ENTITY TOTAL</b>	\$

<input checked="" type="checkbox"/>	A check in the amount of \$1178 to cover the filing fee is enclosed.
<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any over- payments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
<input type="checkbox"/>	Charge the amount of \$ as filing fee.
<input checked="" type="checkbox"/>	Credit any overpayment.
<input checked="" type="checkbox"/>	Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application.
<input type="checkbox"/>	Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance.

  
 Thomas W. Perkins  
 Registration No. 33,027  
 745 South 23rd Street  
 Arlington, VA 22202  
 Telephone 703/521-2297

September 27, 2001